

# Improved Constructions of Frameproof Codes

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**Abstract**—Frameproof codes are used to preserve the security in the context of coalition when fingerprinting digital data. Let  $M_{c,l}(q)$  be the largest cardinality of a  $q$ -ary  $c$ -frameproof code of length  $l$  and  $R_{c,l} = \lim_{q \rightarrow \infty} M_{c,l}(q)/q^{\lceil l/c \rceil}$ . It has been determined by Blackburn that  $R_{c,l} = 1$  when  $l \equiv 1 \pmod{c}$ ,  $R_{c,l} = 2$  when  $c = 2$  and  $l$  is even, and  $R_{3,5} = \frac{5}{3}$ . In this paper, we give a recursive construction for  $c$ -frameproof codes of length  $l$  with respect to the alphabet size  $q$ . As applications of this construction, we establish the existence results for  $q$ -ary  $c$ -frameproof codes of length  $c+2$  and size  $\frac{c+2}{c}(q-1)^2+1$  for all odd  $q$  when  $c = 2$  and for all  $q \equiv 4 \pmod{6}$  when  $c = 3$ . Furthermore, we show that  $R_{c,c+2} = (c+2)/c$  meeting the upper bound given by Blackburn, for all integers  $c$  such that  $c+1$  is a prime power.

**Index Terms**—Fingerprinting, frameproof codes, orthogonal array.

## I. INTRODUCTION

FRAMEPROOF codes were first introduced by Boneh and Shaw [7] in 1998 to protect copyrighted materials. When a distributor wants to sell copies of a digital product, he randomly chooses  $l$  fixed positions in the digital data. For each copy, he marks each position with one of  $p$  different states. Such a collection of marked positions in each copy is known as a *fingerprint*, which can be thought as a codeword of length  $l$  over an alphabet  $F$  of size  $q$ . The users don't know the positions and states embedded in the data, so they cannot remove them. However, in the context of collusion, some users can share and compare their copies, and they can easily discover some or perhaps all marked positions and create illegal copies. A set of fingerprints is called to be *c-frameproof* if any coalition of at most  $c$  users can not frame another user not in the coalition.

### A. Related Objects

The study of related objects to frameproof codes in the literature goes back to 1960s, as Rényi first introduced the concept of a separating system in his papers concerning certain information-theoretic problems [17]–[20]. After that, the concept was defined again in cryptography several decades later, under different scenarios and purposes. Besides the frameproof codes suggested by Boneh and Shaw [7], variants of such

codes have become objects of study by many researchers. For instance,

- *secure frameproof codes* (SFP) [14] are defined to demand that no coalition of at most  $c$  users can frame another disjoint coalition of at most  $c$  users;
- Codes with *identifiable parent property* (IPP) [1], [4], [12], [21] require that no coalition of at most  $c$  users can produce a copy that cannot be traced back to at least one member of the coalition;
- *Traceability codes* (TA) [8], [11], [13], [15] have much stronger identifiable parent property which allows an efficient (i.e., linear-time in the size of the code) algorithm to determine one member of the coalition.

The intimate relations among such kinds of codes and connections with other combinatorial objects, such as certain types of separating hash families, cover-free families and combinatorial group testings were described in [8], [10], [11], [13], [15]. These have motivated much research investigating the constructions and bounds of these codes, and of related objects, see for example [1]–[6], [9], [12], [16], [21]–[23].

### B. Preliminaries

In this paper, we mainly investigate the upper bounds and constructions of frameproof codes. The definition we use was explicitly given by Fiat and Tassa [11], who credited Chor, Fiat, and Naor [8] with its first use.

Let  $F$  be a finite set of cardinality  $q$  and  $l$  be a positive integer. The set  $\{1, \dots, l\}$  is denoted by  $[l]$ . For a  $q$ -ary word  $x \in F^l$  and an integer  $i \in [l]$  we write  $x_i$  for the  $i$ th component of  $x$ . Let  $P \subset F^l$  be a set of words of length  $l$ . The set of *descendants* of  $P$ ,  $\text{desc}(P)$ , is the set of all words  $x \in F^l$  such that for all  $i \in [l]$ , there exists  $y \in P$  satisfying  $x_i = y_i$ , i.e.,

$$\text{desc}(P) = \{x \in F^l : x_i \in \{y_i : y \in P\}, i \in [l]\}.$$

Let  $c$  be an integer such that  $c \geq 2$ . A  $c$ -frameproof code is a subset  $C \subset F^l$  such that for all  $P \subset C$  with  $|P| \leq c$ , we have that  $\text{desc}(P) \cap C = P$ .

Let  $M_{c,l}(q)$  be the largest cardinality of a  $q$ -ary  $c$ -frameproof code of length  $l$ . Staddon, Stinson and Wei [13] proved an upper bound for  $M_{c,l}(q)$ ,  $q \geq 2$ , which is given as follows:

$$M_{c,l}(q) \leq c(q^{\lceil l/c \rceil} - 1).$$

The exact value of  $M_{c,l}(q)$  is not known except for the trivial case, i.e., when  $l \leq c$  and  $q \geq 2$ ,  $M_{c,l}(q) = l(q-1)$  shown by Blackburn [3]. So the more interesting and difficult case is when  $l > c$ . In [3], Blackburn also established an asymptotic upper bound for  $M_{c,l}(q)$ , which is restated as follows.

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*Theorem 1.1:* [3] Let  $c, l$  and  $q$  be positive integers greater than 1. Let  $t \in [c]$  be an integer such that  $t \equiv l \pmod{c}$ . Then

$$M_{c,l}(q) \leq \left( \frac{l}{l - (t-1)\lceil l/c \rceil} \right) q^{\lceil l/c \rceil} + O(q^{\lceil l/c \rceil - 1}).$$

Let  $R_{c,l}(q) = M_{c,l}(q)/q^{\lceil l/c \rceil}$  and  $R_{c,l} = \lim_{q \rightarrow \infty} R_{c,l}(q)$ . Then it is easy to show the following result by Theorem 1.1.

*Corollary 1.1:* Let  $c$  and  $l$  be positive integers greater than 1. Let  $t \in [c]$  be an integer such that  $t \equiv l \pmod{c}$ . Then

$$R_{c,l} \leq \frac{l}{l - (t-1)\lceil l/c \rceil}.$$

When  $l > c$ , Blackburn [3] showed that  $R_{c,l} = 1$  when  $l \equiv 1 \pmod{c}$ , and  $R_{c,l} = 2$  when  $c = 2$  and  $l$  is even. The next most tempting case is when  $t = 2$ , i.e.,  $l \equiv 2 \pmod{c}$ . Blackburn asked in [3, Section 8] the following question: Is there a  $q$ -ary  $c$ -frameproof code of length  $l$  with cardinality approximately  $l/(l - \lceil l/c \rceil)q^{\lceil l/c \rceil}$  when  $l \equiv 2 \pmod{c}$ ? In fact, the answer is yes when  $l = 5$  and  $c = 3$ , which was proved in [3, Construction 4] by constructing a 3-frameproof code of length 5 of sufficiently large cardinality.

Inspired by this question, we pursue the exact values for  $R_{c,l}$  with  $l = c + 2$  in the following sections by constructing  $c$ -frameproof codes with cardinality asymptotically meeting the upper bound in Theorem 1.1. The paper is organized as follows. In Section II, we present a general recursive construction for  $c$ -frameproof codes of length  $l$  with respect to the alphabet size  $q$  by introducing the definition of Property  $P(t)$  for a frameproof code. As applications of this method, we establish the existence results of  $q$ -ary  $c$ -frameproof codes of length  $c + 2$  and size  $\frac{c+2}{c}(q-1)^2 + 1$  for all odd  $q$  when  $c = 2$  and for all  $q \equiv 4 \pmod{6}$  when  $c = 3$  in Section III. In Section IV, we apply the method to the frameproof codes obtained from orthogonal arrays to prove that the upper bound for  $R_{c,l}$  in Corollary 1.1 can be achieved for all  $c \geq 2$  and  $l = c + 2$  when  $c + 1$  is a prime power. Finally, we conclude our paper in Section V.

## II. A GENERAL RECURSIVE CONSTRUCTION

This section serves to describe a general recursive construction for  $c$ -frameproof codes. First, we introduce the definition of Property  $P(t)$  for a code, where  $t$  is a positive integer.

*Definition 2.1:* Let  $C$  be an  $s$ -ary  $c$ -frameproof code of length  $l$  over an alphabet  $S$  of size  $s$ .  $C$  is said to satisfy *Property  $P(t)$*  if there exists a special element say  $\infty \in S$ , such that each codeword contains at most  $t - 1$   $\infty$ 's and is uniquely determined by specifying  $t$  of its components that are not equal to  $\infty$ .

Now suppose  $C$  is an  $s$ -ary  $c$ -frameproof code of length  $l$  over  $S$  satisfying Property  $P(t)$  with a special element  $\infty$ ,  $l \geq 2t - 1$ . For convenience, let  $T = S \setminus \{\infty\}$ . Suppose  $C$  has cardinality  $M$ . Denote the codewords of  $C$  by  $B_i$  with  $i \in [M]$ . By Definition 2.1, there are at most  $t - 1$  components with  $\infty$  of  $B_i$  for each  $i \in [M]$ . Furthermore, a codeword  $B_i$

is uniquely determined by specifying  $t$  of its components that are not equal to  $\infty$ .

Let  $m$  be a prime power such that  $m \geq l - 1$  and  $\mathbb{F}_m$  be the finite field of order  $m$ . Let  $\{\alpha_1, \alpha_2, \dots, \alpha_l\}$  be a set of  $l$  distinct elements in the alphabet  $\mathbb{F}_m \cup \{\infty\}$ . For each polynomial  $f \in \mathbb{F}_m[X]$ , let  $f_\infty$  denote the coefficient of  $X^{t-1}$  in  $f$ . For each  $B_i \in C$ , denote  $B_i = (b_1, b_2, \dots, b_l)$ . Let  $Y_i$  be a set of words of length  $l$  over  $\mathbb{F}_m \cup \{\infty\}$ , such that each word  $y = (y_1, \dots, y_l) \in Y_i$  is defined by

$$y_j = \begin{cases} \infty, & \text{if } b_j = \infty; \\ f_\infty, & \text{if } b_j \neq \infty \text{ and } \alpha_j = \infty; \\ f(\alpha_j), & \text{otherwise,} \end{cases}$$

with  $j \in [l]$ , where  $f$  runs over  $\mathbb{F}_m[X]$  with  $\deg f \leq t - 1$ . So each word  $y \in Y_i$  is uniquely determined by specifying  $t$  components that are not equal to  $\infty$ . Moreover, since  $l \geq 2t - 1$ , i.e.,  $l - (t - 1) \geq t$ , all the words of  $Y_i$  are distinct. Hence each set  $Y_i$  has cardinality  $m^t$ .

Now for each  $i \in [M]$ , define a set  $C_i$  of words of length  $l$  over  $(T \times \mathbb{F}_m) \cup \{(\infty, \infty)\}$  by

$$C_i = \{((b_1, y_1), (b_2, y_2), \dots, (b_l, y_l)) : B_i = (b_1, b_2, \dots, b_l) \text{ and } (y_1, y_2, \dots, y_l) \in Y_i\}.$$

Let  $C' = \cup_{i=1}^M C_i$ . It is clear that all  $C_i$  are disjoint, thus  $|C'| = Mm^t$ . The following lemma proves that  $C'$  is also a  $c$ -frameproof code.

*Lemma 2.1:* Let  $m$  be a prime power and  $l, s, t$  be positive integers such that  $m \geq l - 1$  and  $2t - 1 \leq l$ . Define  $q = (s - 1)m + 1$ . Suppose that  $c \geq t$  is an integer such that  $l = c(t - 1) + r$  for some  $r \in \{t, t + 1, \dots, c\}$ . If there exists an  $s$ -ary length  $l$   $c$ -frameproof code of cardinality  $M$  satisfying Property  $P(t)$ , then there exists a  $q$ -ary length  $l$   $c$ -frameproof code of cardinality  $Mm^t$ .

*Proof:* Using the same notations and construction as above, it remains to show that  $C' = \cup_{i=1}^M C_i$  is a  $c$ -frameproof code of length  $l$  over  $(T \times \mathbb{F}_m) \cup \{(\infty, \infty)\}$ .

For each word  $x = ((b_1, y_1), (b_2, y_2), \dots, (b_l, y_l)) \in C'$ , let  $\pi_k(x)$  be the word by mapping each element to its  $k$ th coordinate,  $k = 1, 2$ , i.e.,  $\pi_1(x) = (b_1, b_2, \dots, b_l)$  and  $\pi_2(x) = (y_1, y_2, \dots, y_l)$ . Suppose  $x \in C'$  and let  $P \subset C'$  be such that  $|P| \leq c$  and  $x \in \text{desc}(P)$ . We will show that  $x \in P$ . Since  $|P| \leq c$  and  $r \geq t$ , there exists  $y \in P$  that agrees with  $x$  in  $t$  or more components that are not equal to  $(\infty, \infty)$ . We aim to show  $x = y$ .

Since  $x \in C'$ , there exists  $i$  such that  $x \in C_i$ . Then  $\pi_1(x) = B_i$ . Since  $\pi_1(x)$  and  $\pi_1(y)$  agree in  $t$  or more components that are not equal to  $\infty$ ,  $\pi_1(x) = \pi_1(y) = B_i$ . That is  $y \in C_i$ . Thus  $\pi_2(x)$  and  $\pi_2(y)$  are both in  $Y_i$ . Since  $\pi_2(x)$  and  $\pi_2(y)$  agree in  $t$  or more components that are not equal to  $\infty$ ,  $\pi_2(x) = \pi_2(y)$ . Hence  $x = y \in P$  as required. ■

Let  $\mathbb{Z}_p$  be the ring of integers modulo  $p$ . Here are two examples as applications of Lemma 2.1.

*Example 2.1:* Let  $S = \{\infty\} \cup \mathbb{Z}_2$ . Define four sets  $X_1, X_2, X_3$  and  $X_4$  of words of length 4 over  $S$  as follows:

$$\begin{aligned} X_1 &= \{(\infty, i, i, i) : i \in \mathbb{Z}_2\}, \\ X_2 &= \{(i, \infty, i, i+1) : i \in \mathbb{Z}_2\}, \\ X_3 &= \{(i, i+1, \infty, i) : i \in \mathbb{Z}_2\}, \\ X_4 &= \{(i, i, i+1, \infty) : i \in \mathbb{Z}_2\}. \end{aligned}$$

It is clear that the sets  $X_i$  are pairwise disjoint and have cardinality 2. Let  $C = \cup_{i=1}^4 X_i$ , it is not difficult to check that  $C$  is a 3-ary 2-frameproof code of length 4 over  $S$  with cardinality 8. Furthermore,  $C$  satisfies Property  $P(2)$ . Let  $m \geq 3$  be any prime power and  $q = 2m + 1$ . By applying Lemma 2.1, there exists a  $q$ -ary 2-frameproof code of length 4 of cardinality  $8m^2 = 2(q-1)^2$ .

Note: Example 2.1 shows that  $M_{2,4}(q) \geq 2(q-1)^2$  for each  $q = 2m + 1$  with  $m \geq 3$  a prime power. In [3, Construction 3], Blackburn constructed a  $q$ -ary 2-frameproof code of length 4 of cardinality  $2(q-1)^2(1 - 1/(2\sqrt{q-1}))$ , where  $q = m^2 + 1$  and  $m \geq 5$  is a prime power. In this case, Example 2.1 constructs 2-frameproof codes of length 4 with bigger size for a more dense family of parameters  $q$ .

*Example 2.2:* This is from [3, Construction 4]. Let  $S = \{\infty\} \cup \mathbb{Z}_3$ . Define five sets  $X_1, X_2, X_3, X_4$  and  $X_5$  of words of length 5 over  $S$  as follows:

$$\begin{aligned} X_1 &= \{(\infty, i, i, i, i) : i \in \mathbb{Z}_3\}, \\ X_2 &= \{(i, \infty, i, i+1, i+2) : i \in \mathbb{Z}_3\}, \\ X_3 &= \{(i, i, \infty, i+2, i+1) : i \in \mathbb{Z}_3\}, \\ X_4 &= \{(i, i+1, i+2, \infty, i) : i \in \mathbb{Z}_3\}, \\ X_5 &= \{(i, i+2, i+1, i, \infty) : i \in \mathbb{Z}_3\}. \end{aligned}$$

It is easy to see that the sets  $X_i$  are pairwise disjoint and have cardinality 3. Let  $C = \cup_{i=1}^5 X_i$ , which forms a 4-ary 3-frameproof code of length 5 over  $S$  with cardinality 15. Clearly  $C$  satisfies Property  $P(2)$ . Let  $m \geq 4$  be a prime power and  $q = 3m + 1$ . By applying Lemma 2.1, there exists a  $q$ -ary 3-frameproof code of length 5 of cardinality  $15m^2 = \frac{5}{3}(q-1)^2$ .

Before the end of this section, we show that the resultant codes obtained from Lemma 2.1 also satisfy Property  $P(t)$ , which means that Lemma 2.1 can be applied recursively.

*Lemma 2.2:* Any frameproof code obtained from Lemma 2.1 satisfies Property  $P(t)$  with the same  $t$  of the previous code. Furthermore, the code is still  $c$ -frameproof after joining the all  $(\infty, \infty)$  codeword.

*Proof:* We use the same notations as in Lemma 2.1. The proof that  $C'$  satisfies Property  $P(t)$  with the special element  $(\infty, \infty)$  is a straightforward verification by the construction and omitted.

Let  $C_\infty$  be the all  $(\infty, \infty)$  codeword. First, we prove that  $C_\infty$  is not in the descendant of any set  $P \subset C'$  with  $|P| \leq c$ . In fact, each codeword in  $C'$  contains at most  $t-1$  components with  $(\infty, \infty)$ . Hence there are at most  $c(t-1)$   $(\infty, \infty)$ 's contained in any set  $P \subset C'$  with  $|P| \leq c$ , but there are  $l = c(t-1) + r > c(t-1)$   $(\infty, \infty)$ 's in  $C_\infty$ . Second, suppose

$x \in C'$  and let  $P \subset C'$  be such that  $|P| \leq c-1$  and  $x \in \text{desc}(P \cup \{C_\infty\})$ . We will show that  $x \in P$ . Since  $x$  has at least  $(c-1)(t-1) + r$  components that are not equal to  $(\infty, \infty)$ , there exists  $y \in P$  that agrees with  $x$  in  $t$  or more components that are not equal to  $(\infty, \infty)$ . By the Property  $P(t)$  of  $C'$ ,  $x = y$  as required. This completes the proof. ■

### III. $c = 2$ AND 3

In this section, we establish the existence of two infinite families of  $c$ -frameproof codes of length  $c+2$  with cardinality  $\frac{c+2}{c}(q-1)^2 + 1$  with  $c = 2$  and 3.

A.  $c = 2$  and  $l = 4$

*Lemma 3.1:* There exists a 5-ary 2-frameproof code with length 4 of cardinality 32 satisfying Property  $P(2)$ .

*Proof:* We will construct the 2-frameproof code  $C$  of length 4 over  $(\mathbb{Z}_2 \times \mathbb{F}_2) \cup \{\infty\}$ . For each polynomial  $f \in \mathbb{F}_2[X]$ , let  $f_\infty$  denote the coefficient of  $X$  in  $f$ . First, define four sets of words as follows:

$$\begin{aligned} X_1 &= \{(\infty, (i, f(0)), (i, f(1)), (i, f_\infty)) : \\ &\quad i \in \mathbb{Z}_2, f \in \mathbb{F}_2[X], \deg f \leq 1\}, \\ X_2 &= \{((i, f(0)), \infty, (i, f(1)), (i+1, f_\infty)) : \\ &\quad i \in \mathbb{Z}_2, f \in \mathbb{F}_2[X], \deg f \leq 1\}, \\ X_3 &= \{((i, f(0)), (i+1, f(1)), \infty, (i, f_\infty)) : \\ &\quad i \in \mathbb{Z}_2, f \in \mathbb{F}_2[X], \deg f \leq 1\}, \\ X_4 &= \{((i, f(0)), (i, f(1)), (i+1, f_\infty), \infty) : \\ &\quad i \in \mathbb{Z}_2, f \in \mathbb{F}_2[X], \deg f \leq 1\}. \end{aligned}$$

It is clear that the set  $X_i$  are pairwise disjoint and have cardinality 8. Let  $C = \cup_{i=1}^4 X_i$ , then  $C$  is easily seen to be a 5-ary 2-frameproof code of length 4 with cardinality 32 satisfying Property  $P(2)$ . ■

By applying Lemma 2.1, we establish the following existence result for 2-frameproof codes.

*Theorem 3.1:* There exists a  $q$ -ary 2-frameproof code with length 4 of cardinality  $2(q-1)^2 + 1$  for any odd  $q > 1$ .

*Proof:* By Lemma 2.2, it is sufficient to prove that for each odd  $q > 1$ , there exists a  $q$ -ary 2-frameproof code with length 4 of cardinality  $2(q-1)^2$  satisfying Property  $P(2)$ .

For  $q = 3, 5$ , the conclusion is true by Example 2.1 and Lemma 3.1. Assume it is true for all odd integers less than  $2m+1$ ,  $m \geq 3$ , i.e., there exists a  $q$ -ary 2-frameproof code with length 4 of cardinality  $2(q-1)^2$  satisfying Property  $P(2)$  for any odd  $q < 2m+1$ . The proof proceeds by induction. If  $m$  is a prime power, then by Lemma 2.1 and Example 2.1, such a code exists for  $q = 2m+1$ . If  $m$  is not a prime power, write  $m$  as  $m = p_1^{e_1} p_2^{e_2} \cdots p_s^{e_s}$ . There exists at least one  $i$ , such that  $p_i^{e_i} \geq 3$  is odd. Since  $2m/p_i^{e_i} + 1 < 2m+1$  is odd, the code exists for  $q = 2m/p_i^{e_i} + 1$  by assumption. Then by Lemma 2.1, the code exists for  $q = 2m+1 = ((2m/p_i^{e_i} + 1) - 1)p_i^{e_i} + 1$  as required. ■



B.  $c = 3$  and  $l = 5$

*Lemma 3.2:* There exists a 10-ary 3-frameproof code with length 5 of cardinality 135 satisfying Property  $P(2)$ .

*Proof:* For each polynomial  $f \in \mathbb{F}_3[X]$ , let  $f_\infty$  denote the coefficient of  $X$  in  $f$ . Now we define  $C$  consisting of the following five types of codewords over  $(\mathbb{Z}_3 \times \mathbb{F}_3) \cup \{\infty\}$  with  $i \in \mathbb{Z}_3, f \in \mathbb{F}_3[X]$  and  $\deg f \leq 1$ :

$$\begin{aligned} &(\infty, (i, f(0)), (i, f(1)), (i, f(2)), (i, f_\infty)), \\ &((i, f(0)), \infty, (i, f(1)), (i+1, f(2)), (i+2, f_\infty)), \\ &((i, f(0)), (i, f(1)), \infty, (i+2, f(2)), (i+1, f_\infty)), \\ &((i, f(0)), (i+1, f(1)), (i+2, f(2)), \infty, (i, f_\infty)), \\ &((i, f(0)), (i+2, f(1)), (i+1, f(2)), (i, f_\infty), \infty). \end{aligned}$$

It is easy to check that  $C$  is a 10-ary 3-frameproof code of length 5 with cardinality 135 satisfying Property  $P(2)$ . ■

Similar to the proof of Theorem 3.1, we obtain the following existence result for 3-frameproof codes by induction.

*Theorem 3.2:* There exists a  $q$ -ary 3-frameproof code with length 5 of cardinality  $\frac{5}{3}(q-1)^2 + 1$  for any integer  $q \equiv 4 \pmod{6}$ .

*Proof:* By Lemma 2.2, it is sufficient to prove that for each  $q \equiv 4 \pmod{6}$ , there exists a  $q$ -ary 3-frameproof code with length 5 of cardinality  $\frac{5}{3}(q-1)^2$  satisfying Property  $P(2)$ .

For  $q = 4, 10$ , the above statement is true by Example 2.2 and Lemma 3.2. Assume it is true for all integers  $q \equiv 4 \pmod{6}$  less than  $6m+4 = 3(2m+1)+1$ ,  $m \geq 2$ , i.e., there exists a  $q$ -ary 3-frameproof code with length 5 of cardinality  $\frac{5}{3}(q-1)^2$  satisfying Property  $P(2)$  for any integer  $q \equiv 4 \pmod{6}$  less than  $3(2m+1)+1$ . If  $2m+1$  is a prime power, then by Lemma 2.1 and Example 2.2, such a code exists when  $q = 3(2m+1)+1$ . If  $2m+1$  is not a prime power, assume  $2m+1 = p_1^{e_1} p_2^{e_2} \cdots p_s^{e_s}$ . Since  $2m+1 \geq 5$  is odd, there exists at least one  $i$ , such that  $p_i^{e_i} \geq 5$  is odd. Since  $3(2m+1)/p_i^{e_i} + 1 \equiv 4 \pmod{6}$  is less than  $3(2m+1)+1$ , the code exists for  $q = 3(2m+1)/p_i^{e_i} + 1$  by assumption. Then by Lemma 2.1, the conclusion is true for  $q = 3(2m+1)+1 = ((3(2m+1)/p_i^{e_i} + 1) - 1)p_i^{e_i} + 1$  as required. ■

#### IV. DETERMINATION OF $R_{c,c+2}$

Having demonstrated in Section III, that  $R_{c,c+2} = \frac{c+2}{c}$  when  $c = 2, 3$ , we now pursue the determination of  $R_{c,c+2}$  for general  $c$ . We begin by introducing the definition of orthogonal arrays.

An *orthogonal array* of size  $N$ , with  $k$  constraints (or of degree  $k$ ),  $s$  levels (or of order  $s$ ), and strength  $t$ , denoted by  $OA(N, k, s, t)$ , is a  $k \times N$  array with entries from a set of  $s \geq 2$  symbols, having the property that in every  $t \times N$  submatrix, every  $t \times 1$  column vector appears the same number  $\lambda = \frac{N}{s^t}$  of times. The parameter  $\lambda$  is the index of the orthogonal array. An  $OA(N, k, s, t)$  is also denoted by  $OA_\lambda(t, k, s)$ . If  $t$  is omitted, it is understood to be 2. If  $\lambda$  is omitted, it is understood to be 1.

Orthogonal arrays are well known used to give codes of high minimum distance. It was proved in [8], [13] that codes with high minimum distance are frameproof codes with some parameters. To make the paper self-contained, we prove the following result from orthogonal arrays.

*Lemma 4.1:* If there exists an  $OA(t, l, s)$ , then there exists an  $s$ -ary length  $l$   $c$ -frameproof code of cardinality  $s^t$ , where  $c$  is any integer such that  $l > c(t-1)$ .

*Proof:* Suppose the given  $OA(t, l, s)$  is an  $l \times s^t$  array with entries from set  $S$  of size  $s$ . Let  $C$  be the collection of words formed by all the columns of the array. Now we prove  $C$  is  $c$ -frameproof for any  $c$  such that  $l > c(t-1)$ . Let  $P$  be any subset of  $C$  with  $|P| \leq c$ . For any vector  $x \in \text{desc}(P) \cap C$ , each component of  $x$  must agree with the corresponding component of one of the codewords in  $P$ . Since  $|P| \leq c$ , there is a codeword  $y \in P$  that agrees  $x$  in at least  $t$  positions. Thus  $x = y$  from the definition of orthogonal array. ■

Let  $C$  be a frameproof code of length  $l$  over  $S$ . Denote the symmetric group on  $S$  by  $\text{Sym}(S)$ . For each  $i \in [l]$ ,  $\sigma \in \text{Sym}(S)$  and for each codeword  $b = (b_1, b_2, \dots, b_l)$ , define  $b(\sigma, i) = (b_1, \dots, b_{i-1}, \sigma(b_i), b_{i+1}, \dots, b_l)$ . Finally, define  $C(\sigma, i) = \{b(\sigma, i) : b \in C\}$ . It is natural to obtain the following result.

*Lemma 4.2:* If  $C$  is a  $c$ -frameproof code of length  $l$  over  $S$ , then  $C(\sigma, i)$  is a  $c$ -frameproof code for each  $i \in [l]$  and  $\sigma \in \text{Sym}(S)$ .

*Proof:* The proof proceeds by contradiction. Assume that  $C(\sigma, i)$  is not  $c$ -frameproof, i.e., there exists a codeword  $b \in C$  and a set  $P \subset C$  of cardinality  $c$ , such that  $b(\sigma, i) \in \text{desc}(P(\sigma, i)) \cap C(\sigma, i)$  but  $b(\sigma, i) \notin P(\sigma, i)$ . By the definition of descendant, for each  $k \in [l] \setminus \{i\}$ , there exists  $y \in P$  such that  $b_k = y_k$ . For  $k = i$ , there exists  $y \in P(\sigma, i)$  such that  $\sigma(b_i) = \sigma(y_i)$ , hence  $b_i = y_i$  because  $\sigma$  is a permutation. Thus  $b \in \text{desc}(P)$  but  $b \notin P$ , which is a contradiction with the fact that  $C$  is  $c$ -frameproof. ■

Let  $S$  be a set of size  $s$  containing  $\infty$ . Suppose there exists an  $OA(t, l, s)$  over  $S$  which is an  $l \times s^t$  array. Denote the column vectors by  $B_i$ ,  $i = 0, 1, \dots, s^t - 1$ . By the definition of orthogonal array and Lemma 4.2, we can assume that  $B_0$  is the all  $\infty$  vector. For each  $i \in [s^t - 1]$ ,  $B_i$  contains at most  $t-1$  components with  $\infty$ . Furthermore, a vector  $B_i$  is uniquely determined by specifying  $t$  of its components. By Lemma 4.1,  $B_i$ ,  $i \in [s^t - 1]$ , form an  $s$ -ary length  $l$   $c$ -frameproof code of cardinality  $s^t - 1$  satisfying Property  $P(t)$ , where  $c$  is any integer such that  $l = c(t-1) + r$  for some  $r \in [c]$ . Hence, we have the following construction by Lemma 2.1.

*Lemma 4.3:* Let  $m$  be a prime power and  $l, s, t$  be positive integers such that  $m \geq l-1$  and  $2t-1 \leq l$ . Define  $q = (s-1)m+1$ . If there exists an  $OA(t, l, s)$ , then there exists a  $q$ -ary length  $l$   $c$ -frameproof code of cardinality  $\frac{(s^t-1)}{(s-1)^t}(q-1)^t$ , where  $c \geq t$  is any integer such that  $l = c(t-1) + r$  for some  $r \in \{t, t+1, \dots, c\}$ .

Applying Lemma 4.3 with the existence of  $OA(2, s+1, s)$

for any prime power  $s$ , we show the following result.

**Corollary 4.1:** Let  $c \geq 2$  be an integer such that  $c + 1$  is a prime power, and let  $m \geq c + 1$  be any prime power. Then there exists a  $q$ -ary  $c$ -frameproof code of length  $c + 2$  with cardinality  $\frac{c+2}{c}(q-1)^2$ , where  $q = cm + 1$ .

*Proof:* Let  $l = c + 2$ ,  $s = c + 1$  and  $t = r = 2$ , then there exists an  $OA(2, l, s)$ . By Lemma 4.3, there exists a  $q$ -ary  $c$ -frameproof code of length  $l$  with cardinality  $\frac{(s^2-1)}{(s-1)^2}(q-1)^2 = \frac{(s+1)}{(s-1)}(q-1)^2 = \frac{c+2}{c}(q-1)^2$ . ■

Corollary 1.1 and Corollary 4.1 combine to determine the values for  $R_{c,c+2}$ .

**Theorem 4.1:** Let  $c \geq 2$  be an integer such that  $c + 1$  is a prime power, then  $R_{c,c+2} = (c + 2)/c$ .

*Proof:* By Corollary 1.1, we have  $R_{c,c+2} \leq (c + 2)/c$ . It remains to show that  $R_{c,c+2} \geq (c + 2)/c$ . For a given value of  $q$ , let  $q_l$  be the largest prime power such that  $cq_l + 1 \leq q$ , and let  $q_u$  be the smallest integer such that  $cq_u + 1 \geq q$ . That is  $q_l$  is the largest prime power such that  $q_l \leq q_u$ . By the prime number theorem,  $q_l/q_u = 1 - o(1)$ . By Corollary 4.1, we have  $M_{c,c+2}(cq_l + 1) \geq \frac{c+2}{c}(cq_l)^2$ . Hence

$$\begin{aligned} M_{c,c+2}(q)/q^2 &\geq M_{c,c+2}(cq_l + 1)/q^2 \\ &\geq \frac{c+2}{c}(cq_l)^2/q^2 \\ &\geq \frac{c+2}{c}(cq_l)^2/(cq_u + 1)^2 \\ &= \frac{c+2}{c} \cdot \left(\frac{q_l}{q_u + 1/c}\right)^2, \end{aligned}$$

which shows  $R_{c,c+2} \geq (c + 2)/c$ . This completes the proof. ■

## V. CONCLUSION

Determining the largest cardinality of a  $q$ -ary  $c$ -frameproof code of length  $l$ ,  $M_{c,l}(q)$  is a difficult problem for general  $c, l, q$ . In this paper, we show that the leading term of the upper bound for  $M_{c,l}(q)$  in Theorem 1.1, proposed by Blackburn [3], is tight when  $c + 1$  is a prime power and  $l = c + 2$ , by constructing corresponding frameproof codes of sufficiently large cardinality.

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